



PATENTS

2166
#8
BT
11-14-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 104196.129)

Applicant(s): Pelland, et al.
Serial No.: 09/847,855 Examiner: Not Yet Assigned
Filed: May 2, 2001 Group Art Unit: 2166
For: AN ELECTRONIC PERSONAL ASSISTANT WITH PERSONALITY ADAPTATION

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

November 4, 2002
Date

Anthony W. Sutton
Anthony W. Sutton

TRANSMITTAL LETTER

Assistant Commissioner:

RECEIVED

NOV 06 2002

Technology Center 2100

Enclosed for filing in the above-identified application are the following documents:

- 1.) Supplemental Information Disclosure Statement;
- 2.) Form PTO-1449, including 5 references;
- 3.) Copy of the International Search Report from PCT/US01/27379; and
- 6.) Return Postcard.

No fees are believed to be due with the filing of these documents. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment in connection with this matter to Deposit account No. 08-0219.

Date: 10/31/02

Respectfully submitted,

Gary A. Walpert

Gary A. Walpert
Reg. No. 26,098
Attorney for Applicants

Hale and Dorr LLP
60 State Street
Boston, Massachusetts 02109
Tel: (617) 526-6465
Fax: (617) 526-5000

RECEIVED
NOV 07 2002
GROUP 3600



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 104196.129)

Applicant(s): Pelland, et al
Serial No.: 09/847,855
Filing Date: May 2, 2001
Title: AN ELECTRONIC PERSONAL ASSISTANT WITH PERSONALITY ADAPTATION
Examiner: Not Yet Assigned
Group Art Unit: 2166

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
NOV 07 2002
GROUP 3600

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Date:

November 1, 2002

Anthony W. Sutton

INFORMATION DISCLOSURE STATEMENT
WITH STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

Assistant Commissioner:

Applicant and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications, which are known to them and considered warranting disclosure under 37 C.F.R. § 1.56. Copies of each of these publications are enclosed.

Applicant states under 37 C.F.R. § 1.97(e)(1) that the publications were cited in an International Search Report issued in connection with a counterpart Patent Cooperation Treaty application No. PCT/US01/27379 not more than three months prior to the filing of this statement. A copy of the International Search Report is also enclosed for the Examiner's review.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

Serial No. 09/847,855
Pelland, et al.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

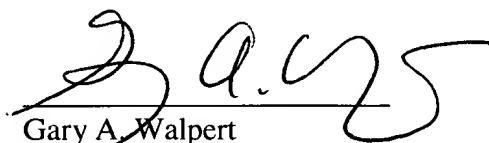
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fees necessary to maintain the pendency of this application to Deposit Account No. 08-0219.

Date: 10/31/02

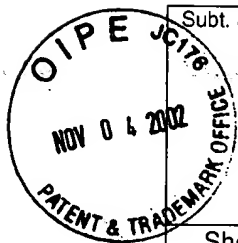
Respectfully submitted,



Gary A. Walpert
Registration No. 26,098
Attorney for Applicant

Hale and Dorr LLP
60 State Street
Boston, Massachusetts 02109
Tel: (617) 526-6465
Fax: (617) 526-5000

sutton_anthony C:\NrPortb\Boston\ANTHOS\1522722_1.DOC



Subt. Form PTO-1449

INFORMATION DISCLOSURE
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number
104196.129Application Number
09/847,855Applicant
Pelland, et al.Filing Date
May 2, 2001Group Art Unit
2166

Sheet 1 OF 1

U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

Foreign Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 96/11542	Apr. 18, 1996	PCT				
	WO 00/39499	Aug. 5, 1999	PCT				
	WO 99/57714	Nov. 11, 1999	PCT				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

A1	Mitchell, et al., "Experience With A Learning Personal Assistant" <i>Association for Computing Machinery</i> , Vol. 37 (7), pp. 81-91 (1994)
A2	Chin, "Personality Trait Attribution to Voice Mail User Interfaces" <i>Proc. Of the 1996 Conference on Human Factors in Computer Systems</i> , (1996)

RECEIVED
NOV 07 2002
GROUP 3600

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	